

Research Policy Compliance Responsibility Assignments / Revised: Jan. 6, 2020

Responsibility Area	Assignment by Role	Assigned Individual	Supporting units/staff in VCRGE	Significant partners outside VCRGE
Institutional Official for Human Research Subjects Protection Program (HRPP) ClinicalTrials.gov	AVC for Research Policy and Compliance	Nadine Connor	HRPP staff in ORP, Cross Campus HRPP Committee Quality & Compliance Oversight Advisory Committee	Deputy IOs and IRB Directors/staff for HS and Ed/SBS HRPPs; 3 IRBs Legal (L Wilson)
Institutional Official for Research Animals	AVC for Research Policy and Compliance	Nadine Connor	RARC ACAPAC WNPRC	4 ACUCs School/College Programs and Animal Housing units Legal (B Daly)
Occupational Health (component required by animal program)	AVC for Research Policy and Compliance	Nadine Connor		UHS Occ Health Committee Legal (B Daly and B Griffiths)
Controlled Substances (research related uses)	AVC for Research Policy and Compliance	Nadine Connor	RARC (as related to animal research)	Legal (B Daly)
Stem Cell oversight program	AVC for Research Policy and Compliance	Nadine Connor	SCRO Manager in ORP	Legal (L Wilson)
Conflict of Interest (financial and institutional)	AVC for Research Policy and Integrity	Brian Fox	COI Office Staff COI committee	School/College ADRs and B Daly and N Griffiths (Legal)

Research Integrity Officer (RIO)	AVC for Research Policy and Integrity	Brian Fox	Divisional AVCs	Deans office Schools/Colleges Legal (B Vaughan)
Responsible Conduct of Research Training and Tracking	AVC for Research Policy and Integrity	Brian Fox	ORP	NIH Training grant programs, RSP (identifying NSF, USDA and other grant recipients subject to RCR requirements)
IP and Entrepreneurship	AVC for Research Policy and Integrity	Steve Ackerman	RSP and OVCRGE Office of Research Services D2P	Legal (B Griffiths)
Approval of data transfer to another institution (Policy on Data Stewardship, Access and Retention)	AVC for Research Policy and Integrity	Brian Fox		Research and Sponsored Programs Legal(B Griffiths)
Radiation Safety – Research Contact; and Reviewing Official for Physical Protection of Radioactive Material	EH&S	(Requires clearance)		Radiation Safety Officer Radiation Safety group in EHS UW Health Six Radiation Safety Committees Legal (B Griffiths)
Drones and autonomous vehicles	AVC for Physical Sciences	Amy Wendt		Legal (B Griffiths)
Classified research grant or contract exceptions (Including publication restrictions)	AVC for Physical Sciences	Amy Wendt	OIP	Legal (B Griffiths) RSP
Export Controls	AVC for Physical Sciences	Amy Wendt	Export Control Office	Export Control Working Group Legal (B Griffiths)

Select Agent Program – Research Contact	AVC for Biological Sciences	Cynthia Czajkowski	Responsible Official (Rebecca Moritz)	Biosafety group in EHS Biosecurity Task Force Two alternate ROs (Lisa Burley and Christina Pier) Legal (B Daly and B Griffiths)
Dual Use Research of Concern (DURC) – Research Contact	AVC for Biological Sciences	Cynthia Czajkowski		Biosafety group in EHS Institutional Contact for Dual Use Research (R Moritz) Biosecurity Task Force Legal (B Griffiths)
Executive Safety Committee – Research Representative	AVC for Biological Sciences	Cynthia Czajkowski		Administered by P Umbeck (EHS) Chief UWPD AVC FP&M Director UHS
Biosafety – Research Contact	AVC for Biological Sciences	Cynthia Czajkowski		Biosafety Officer Biosafety group in EHS IBC Legal (B Daly)
Research grant or contract F&A exceptions	VCRGE	Steve Ackerman	RSP	Legal (B Griffiths)
PI Committee Chair (review of PI status for academic staff members)	Rotating assignment among Divisional AVCs	Lonnie Berger	Research Services staff	
Public Access Publication Filing Point Person	AVC for Arts and Humanities	Florence Hsia		Ebling Health Sciences Library Legal (B Daly and N Lynch)