



# UNIVERSITY OF WISCONSIN – MADISON

## EXPORT CONTROL SCREENING FORM

The following screening questions are to be used to determine if a particular project is affected by U.S. export control regulations. The purpose of these questions is to determine if *foreign persons may have access to project technology or information that is controlled under the U.S. export control regulations.*

### PROJECT IDENTIFICATION

MSN #:

Project Title:

PI / PM:

### EXPORT CONTROL ASSESSMENT

Answer the following questions for scientific, engineering or technology projects

#### QUESTION

#### RESPONSE<sup>1</sup>

#### 1) Controlled Technology

*Check "Yes" in the box to the right if any of the following apply to this award:*

- a. The project is sponsored by the U.S. Department of Defense (DOD) or a defense contractor AND has a potential military application, or
- b. The project includes hardware, software, substances, information or data controlled under the International Traffic in Arms Regulations (ITAR) or Export Administration Regulations (EAR), or
- c. The project uses or creates items, information or data of a technical nature that will NOT be made publicly available (such as subject to a publication restriction or non-disclosure agreement).

Yes

No

#### 2) Export / Deemed Export

*Check "Yes" in the box to the right if any of the following apply to this award:*

- a. Foreign persons will participate in this project as sponsors, collaborators or staff, or
- b. Hardware, software, substances, information or data for this project will be exported out of the U.S. or transferred to foreign persons within the U.S.

Yes

No

For HELP see: <http://www.grad.wisc.edu/research/policyrp/ec/wisperhelpscreen.pdf>

#### RESULTS

**No License Required** – One or both questions answered **No**

**Complete Full Assessment** – Both questions answered **Yes** (contact your departmental Point of Contact)

**Additional Comments:**

Note 1: Reassessments – Projects should be reassessed if (1) the nature or scope of the project changes; (2) new foreign staff is assigned to the project; or (3) a new export/change in destination is planned.

#### Authorization:

Prepared By (PI or delegate): \_\_\_\_\_ Date: \_\_\_\_\_

Reviewed By (Export Control Office): \_\_\_\_\_ Date: \_\_\_\_\_

\*\*\*\* Send signed form to: [exportcontrol@grad.wisc.edu](mailto:exportcontrol@grad.wisc.edu) (scan the signed copy), or Tom Demke, AOSS Bldg, 1225 W. Dayton St, Rm 525 \*\*\*\*