

**Research Policy Compliance Responsibility Assignments**  
**March 9, 2018**

<b>Responsibility Area</b>	<b>Assignment by Role</b>	<b>Assigned Individual</b>	<b>Supporting units/staff in VCRGE</b>	<b>Significant partners outside VCRGE</b>
Institutional Official for Human Research Subjects Protection Program (HRPP) ClinicalTrials.gov	AVC for Research Policy and Compliance	Nadine Connor	HRPP staff in ORP, Cross Campus HRPP Committee Quality & Compliance Oversight Advisory Committee	Deputy IOs and IRB Directors/staff for HS and Ed/SBS HRPPs; 3 IRBs Legal (L Wilson)
Institutional Official for Research Animals	AVC for Research Policy and Compliance	Nadine Connor	RARC ACAPAC WNPRC	4 ACUCs School/College Programs and Animal Housing units Legal (B Daly)
Occupational Health (component required by animal program)	AVC for Research Policy and Compliance	Nadine Connor		UHS Occ Health Committee Legal (B Daly and B Griffiths)
Controlled Substances (research related uses)	AVC for Research Policy and Compliance	Nadine Connor	RARC (as related to animal research)	Legal (B Daly)
Stem Cell oversight program	AVC for Research Policy and Compliance	Nadine Connor	SCRO Manager in ORP	Legal (L Wilson)
Conflict of Interest (financial and institutional)	AVC for Research Policy and Integrity	Brian Fox	COI Office Staff COI committee	B Daly (Legal)
Research-related facility use. (frequently overlaps with COI and/or animal/human programs)	AVC for Research Policy and Integrity	Brian Fox		School/College ADRs Legal(B Daly and B Griffiths)

Research Integrity Officer (RIO)	AVC for Research Policy and Integrity	Brian Fox	Divisional AVCs	Deans office Schools/Colleges Legal (B Vaughan)
Responsible Conduct of Research Training and Tracking	AVC for Research Policy and Integrity	Brian Fox	ORP	NIH Training grant programs, RSP (identifying NSF, USDA and other grant recipients subject to RCR requirements)
IP and Entrepreneurship	AVC for Research Policy and Integrity	Brian Fox, Andy Richards	OIP, RSP and OVCRGE Office of Research Services D2P	Legal (B Griffiths and A Norman)
Approval of data transfer to another institution (Policy on Data Stewardship, Access and Retention)	AVC for Research Policy and Integrity	Brian Fox		Research and Sponsored Programs
Oversight of Office Industrial Partnerships – research affiliations with external organizations	AVC for Research Policy and Integrity	Brian Fox	OIP	Legal (B Griffiths and A Norman)
Radiation Safety – Research Contact; and Reviewing Official for Physical Protection of Radioactive Material	AVC for Physical Sciences	Steve Ackerman (Requires clearance)		Radiation Safety Officer Radiation Safety group in EHS UW Health Six Radiation Safety Committees Legal (B Griffiths)
Drones and autonomous vehicles	AVC for Physical Sciences	Steve Ackerman		Legal (B Griffiths)
Research grant or contract exceptions (limited to classified research and publication restrictions)	AVC for Physical Sciences	Steve Ackerman	OIP	Legal (B Griffiths) RSP
Export Controls	AVC for Physical Sciences	Steve Ackerman	Export Control Office	Export Control Working Group Legal (B Griffiths)

Select Agent Program – Research Contact	AVC for Biological Sciences	Norman Drinkwater	Responsible Official (T Yoshino)	Biosafety group in EHS Biosecurity Task Force Two alternate ROs (R Moritz and D Berger) Legal (B Daly and B Griffiths)
Dual Use Research of Concern (DURC) – Research Contact	AVC for Biological Sciences	Norman Drinkwater		Biosafety group in EHS Institutional Contact for Dual Use Research (R Moritz) Biosecurity Task Force Legal (B Griffiths)
Executive Safety Committee – Research Representative	AVC for Biological Sciences	Norman Drinkwater		Administered by P Umbeck (EHS) Chief UWPD AVC FP&M Director UHS
Biosafety – Research Contact	AVC for Biological Sciences	Norman Drinkwater		Biosafety Officer Biosafety group in EHS IBC Legal (B Daly)
Research grant or contract F&A exceptions	Currently VCFA; But could change to VCRGE	Laurent Heller/Marsha Mailick	RSP or OIP	Legal (B Griffiths)
PI Committee Chair (review of PI status for academic staff members)	Rotating assignment among Divisional AVCs	Jan Greenberg	Research Services staff	
Current Project on Reducing Admin. Burden	AVC for Social Sciences	Jan Greenberg	Research Services staff	RSP
Public Access Publication Filing Point Person	AVC for Arts and Humanities	Lea Jacobs		Ebling Health Sciences Library Legal (B Daly and N Lynch)